

Daniel Berkowitz

Curriculum Vita

April 2025

Department of Economics
University of Pittsburgh
WWPH 4913
Pittsburgh, PA 15260
dmbark@pitt.edu

<https://sites.google.com/view/dmberkecon/welcome-to-daniel-berkowitzs-webpage>

Fields: Comparative Institutions, Development, Law and Finance, China

Education

Ph.D., Economics, Columbia University, 1988
M.A., Yale University (Russian and East European Studies), 1984
B.A., Haverford College (Russian Area Studies and Economics), 1981

Academic Employment

2006- Professor of Economics, University of Pittsburgh

Spring 2022 Visiting Professor, Carnegie Mellon University

2018- Faculty Affiliate, Asian Studies Center, University of Pittsburgh

Spring 2011, Visiting Faculty, Tsinghua University, Beijing
Spring 2012

Fall 2009, Visiting Professor, City University of Hong Kong
Fall 2010

Other Professional Activities

2025 Recipient of a Governing Deep Differences Grant from the Center
for Market Governance, \$15,000

Summer 2023 Visiting Researcher, Bank of Finland Institute for Emerging
Economies

Spring 2022 Visiting Professor, Heinz School, Carnegie Mellon University

Aug-Sept 2019	Resident, the Bellagio Center of the Rockefeller Foundation
2019-2021	Executive Secretary of the <i>Association for Comparative Economics Studies</i>
2018	President of the <i>Association for Comparative Economics Studies</i>
2007-2016	Co-Editor (with Gérard Roland), the <i>Journal of Comparative Economics</i>
Fall 2015	Visiting Scholar, Harvard University and the National Bureau for Economic Research

Publications

Google Scholar Citations: <https://scholar.google.com/citations?user=cVqJscYAAAAJ>

“Borrowing Based on Great Expectations: Evidence from the Origins of Fracking” (with Andrew Boslett, Jason Brown and Jeremy Weber), forthcoming, *Management Science*.
Published Online: 10 Apr 2025, <https://doi.org/10.1287/mnsc.2023.04214>

“The Effects of an Emergency Savings Program on Work Performance: A Two-Year Field Intervention” (with Carrie Leana, Daniye Kamram-Morley and Yolanda Yang), forthcoming, *Industrial and Labor Relations Review*

“The Growth of Firms, Markets and Rents: Evidence from China” (with Shuichiro Nishioka), *Journal of Comparative Economics*, 52(2), 2024, 383-399

“Environmental Hazards and Local Investment: A Half-Century of Evidence from Abandoned Oil and Gas Wells” (with Max Harleman and Jeremy Weber), *Journal of the Association of Environmental and Resource Economists*, Volume 9, Number 4, July 2022, Pages 721-753, <https://www.journals.uchicago.edu/doi/full/10.1086/719383>

“De-politicization and Corporate Transformation: Evidence from China” (with Chen Lin and Sibio Liu), *The Journal of Law, Economics, and Organization*, Volume 38, Issue 2, July 2022, Pages 479–510, <https://doi.org/10.1093/jleo/ewab007>

“How Bureaucratic Capacity Shapes Policy Outcomes: Partisan Politics and Affluent Citizens’ Incomes in the American States” (with George Krause), the *Journal of Public Policy*, 40(2): 305-328, 2020

“Recasting the Iron Rice Bowl: The Reform of China’s State-Owned Enterprises” (with Hong Ma and Shuichiro Nishioka), *Review of Economics and Statistics*, 99(4): 735-747, 2017

"Do Property Rights Matter? Evidence from a Property Law Enactment" (with Chen Lin and Yue Ma), *Journal of Financial Economics*, 116: 583-593, 2015,
<https://www.sciencedirect.com/science/article/pii/S0304405X1500046X>

"Bank Privatization, Finance and Growth," (with Mark Hoekstra and Koen Schoors), the *Journal of Development Economics* 110: 93-106, 2014

"Valid Tests When Instrumental Variables Do Not Perfectly Satisfy the Exclusion Restriction," (with Andres Riquelme and Mehmet Caner), the *Stata Journal* 13(3): 528-546, 2013

"The Validity of Instruments Revisited," (with Mehmet Caner and Ying Fang) the *Journal of Econometrics*. 166(2): 255-267, 2012

"The Evolution of a Nation: How Geography and Law Shaped the American States," (with Karen Clay) Princeton University Press, December 2011 (for endorsements see <http://press.princeton.edu/titles/9626.html>)
(Translated into Chinese, 2015, CITC Press Corporation)

"Law and the Trade of Less Developed Economies," (with Johannes Moenius), the *Journal of Development Economics* 96: 451-460, 2011

"Do Elite Private High Schools Improve Academic Performance? Evidence from Admissions Data," (with Mark Hoekstra), the *Economics of Education Review* 30(2): 280-88, 2011

"Growth in Post-Soviet Russia: A Tale of Two Transitions," (with David N. DeJong), the *Journal of Economic Behavior and Organization* 79: 133-43, 2011

"Law, Trade and the Asian Miracle," (with Johannes Moenius), *Asian Pacific Journal of Accounting and Economics* 15(3): 291-315, 2008

"Are Nearly Exogenous Instruments Reliable?" (with Mehmet Caner and Ying Fang), *Economic Letters* 101: 20-23, 2008.

"The Effect of Judicial Independence on Courts: Evidence from the American States," (with Karen Clay), *Journal of Legal Studies* 35(2): 399-440, June 2006

"Entrepreneurship and the Evolution of Income Distributions in Poland and Russia," (with John E. Jackson), the *Journal of Comparative Economics* 34(2): 338-356, June 2006

"Trade, Law and Product Complexity," (with Johannes Moenius and Katharina Pistor); the *Review of Economics and Statistics*, 88(2): 363-373, 2006

- "Legal Institutions and International Trade Flows," (with Katharina Pistor and Johannes Moenius); *Michigan Journal of International Law* 26(1): 163-198, 2004
- "Economic Fragmentation in Russia: The Influence of International Trade and Initial Conditions," (with David N. DeJong); *Economics of Governance* 6(3): 253-268, 2005
- "Entrepreneurship and Post-Socialist Growth," (with David N. DeJong); *Oxford Bulletin of Economics and Statistics* 67(1): 25-46, 2005
- "American Civil Law Origins: Implications for State Constitutions and State Courts," (with Karen Clay); *American Law and Economics Review* 7(1): 62-84, 2005
- "The Transplant Effect," (with Katharina Pistor and Jean Francois Richard); the *American Journal of Comparative Law* 51(1): 163-204, 2003
- "Integration: An Empirical Assessment of Russia" (with David N. DeJong); the *Journal of Urban Economics* 53: 541-559, 2003
- "Economic Development, Legality and the Transplant Effect," (with Katharina Pistor and Jean-Francois Richard); *European Economic Review* 47(1): 165-195. 2003
- "Legal Transplants, Legal Irritants and Economic Development," (with Katharina Pistor); in Peter Cornelius and Bruce Kogut, eds., *Corporate Governance and Capital Flows in a Global Economy*. New York: Oxford University Press, 2002
- "Policy Reform and Growth in Post-Soviet Russia" (with David N. DeJong); *European Economic Review* 47(2): 141-156, 2003. Reprinted as a non-technical summary under the title of "Entrepreneurship and Growth: Evidence from Russia," in Andrew Warner, ed., *The European Competitiveness and Transition Report 2001-2002*. New York: Oxford University Press, 2002
- "Accounting for Post-Soviet Russia's Growth," (with David N. DeJong); *Regional Science and Urban Economics* 32(2): 221-239, 2002
- "Does Privatization Enhance or Deter Small Enterprise Formation?" (with Jonathan Holland); *Economics Letters* 74(1): 53-60, 2001
- "The Evolving Pattern of Internal Market Integration in Russia," (with David N. DeJong); *Economics of Transition* 9(1): 87-104, 2001
- "Does Russian Legal Reform Matter? Evidence from Russian Crude Oil Exports," in Peter Murrell, ed., *Assessing the Value of Law in Transition Economies*. Ann Arbor: University of Michigan Press, 2001, pp.314-329
- "Tax Rights in Transition Economies: A Tragedy of the Commons?" (with Wei Li);

Journal of Public Economics 76 (3): 369-398, 2000

“Economic Consequences of Russia’s Internal Border,” (with David N. DeJong); in P. Wehrheim, Frohlberg, K. Serova, E. and von Braun, J., eds., *Russia's Agro-food Economy: Towards Truly Functioning Markets*. Boston: Kluwer Academic Publishers, pp.185-202, 2000

“Russia’s Internal Border,” (with David N. DeJong); *Regional Science and Urban Economics* 29 (5): 633-649, 1999

“Quantifying Price Liberalization in Russia,” (with David N. DeJong and Steven Husted); *Journal of Comparative Economics* 26 (4): 735-60, 1998

"Nationalism and Secession," in D. Pines, E. Sadka and I. Zilcha, eds., *Topics in Economics*. New York: Cambridge University Press, pp. 220-236, 1998

"Regional Income and Secession: Center-Periphery Relations in Emerging Market Economies," *Regional Science and Urban Economics* 27 (1): 17-45, 1997

"Observations on Transition in Russia: Prices and Entry," (with David N. DeJong); in Joan Nelson, Charles Tilly and Lee Walker, eds., *Transforming Post-Communist Political Economies*. Washington DC: National Academy Press, pp. 203-222, 1997

"On the Persistence of Rationing Following Liberalization: A Theory for Economies in Transition," *European Economic Review* 40 (6), 1259-1280, 1996

"Atavistic Dynamics in a Two Sector Economy," *Journal of Economic Theory*, 62, 238-252, 1994.

"Local Support for Market Reform: Implications of a Consumption Bias," in J. Hahn and T. Friedgut, eds., *Local Power and Post-Soviet Politics*. Armonk NY: ME Sharpe, pp. 192-207, 1994

"A Simple Model of an Oligopolistic Parallel Market," *Journal of Comparative Economics*, 17 (1), 92-112, 1993

"Fiscal Decentralization in the Soviet Economy," (with Beth Mitchneck); *Comparative Economic Studies* 34 (2): 1-18, 1992

"Sequence of Techniques," *Economics of Planning*, 25: 191-217, 1992

Working Papers

“Entry Barriers and Websites: Evidence from China” (with Yi Lu and Mingqin Wu), mimeo, January 2025, revise and resubmit from the *Journal of Law and Economics*.

“Can Sanctions Deter Illegal Financial Transactions?”, work in progress, joint Guillermo Lezama and Laura Liu.

Reviews and policy papers

The Path Forward on Energy Sanctions: A Toolkit to Step up Pressure on Russia in 2025. WP# 21, long list of co-authors and signer, The International Working Group on Russian Sanctions November 15, 2024.

https://fsi9-prod.s3.us-west-1.amazonaws.com/s3fs-public/2024-11/working_paper_21_energy_sanctions_11-15-2024_final2.pdf

The Impact of Banning Officials from Corporate Boards in China (with Chen Lin and Sibo Liu), Stanford Center on China’s Economy and Institutions, July 1, 2004,
<https://sceei.fsi.stanford.edu/china-briefs/impact-banning-officials-corporate-boards-china>

Using Energy Sanctions to Shorten the War. The International Working Group on Russian Sanctions. WP #14. long list of co-authors. September 4, 2023.

Strengthening Sanctions against the Russian Federation. The International Working Group on Russian Sanctions. WP #14. long list of co-authors. April 24, 2023.

Foreword for Oleh Harvylshyn and Elodie Dourain, eds. the Handbook of Comparative Economics, Palgrave, 2021

Book Review of Yuen Yuen Ang, “How China Escaped the Poverty Trap,” *Journal of Economic Literature*, September 2017

“Slavery, Colonialism and Institutions around the World” (with Marla Ripoll) *Journal of Comparative Economics*, 40(4): 531-535, November 2012 (special symposium issue for which Berkowitz and Ripoll served as guest editors)

“Editorial Statement from Daniel Berkowitz and Gérard Roland,” *Journal of Comparative Economics*, 35(1): 1-2, March 2007

Book Review of Erik Berglof et al., “The New Political Economy of Russia,” *Journal of Economic Literature*, XLIII: 181-82, March 2005

Review of Gerard Roland, “Transition and Economics: Politics, Markets and Firms,” *Journal of Economic Literature*, XL: 217-19, March 2002

“Russia’s Internal Incoherency,” (with David N. DeJong), Research in Transition: the EERC (Economics Education and Research Consortium) Newsletter, May 1999

“What China Can Teach Russia,” (with David N. DeJong), in the Letters Section, *Foreign Policy*, 114, 139-140, Spring 1999

Review of Janos Kornai, "The Socialist Economic System: The Political Economy of Communism," *Journal of Economic Literature*, XXXII (2), 736-738, 1994

Review of Ota Sik, editor, "Socialism Today: The Changing Meaning of Socialism," *Journal of Comparative Economics*, 18 (2), 258-60, 1994

"Survey Article: An Evaluation of the CIA's Analysis of Soviet Economic Performance, 1970-90," *Comparative Economic Studies* (with J.S. Berliner, P.R. Gregory, S.J. Linz and J.R. Millar) 35 (2), 33-56, 1993

Current Placement of PhD Students (Committee Chair)

Juan.Campanario, U.S. Department of Labor

Ying Liu, Post-doc, Simon Fraser University

Yi Han, Renmin University (the People's University of China)

Hao Fang, Shanghai University Institute of Business and Economics

Siying Liu, IMPAQ Health Consultants

Lulu Liu, Amazon

Yang Song, Associate Professor of Economics with tenure, Colgate University

Torsten Jochem, Associate Professor (with tenure), Amsterdam Business School

Yilan Xu, Associate Professor (with tenure), Department of Agricultural and Consumer Economics, University of Illinois at Urbana-Champaign

Wonjea Huh – senior economist at the Samsung Research Institute in South Korea

Baozhi Qu – Head of Research, the China Merchants Group

Kristin Kleinjans – Full Professor, University of California Fullerton

Yadviga Semikolenova – energy economist, the World Bank (permanent staff)

Invitations and Presentations in Past Five Years

Invited speaker, the EVOLVE 2025 conference sponsored by ENVERUS (a major energy data provider), Houston, May 2025.

Invited Speaker, the University of Memphis, April 2025.

Presenter, Sloan Foundation Conference on "Rethinking Governance: Strategies to Combat Corruption and Strengthen Institutions," UC Berkeley, January 2025

Paper presentation, ASSA/ACES annual meetings, January 2025

Paper presentation, Bank of Finland Institute for Emerging Economies, June 2024

Paper presentation, Stockholm Institute of Transition Economics, Stockholm School of Economics, June 2024

Paper presentation, annual World Bank conference on development economics, June 2023

Paper presentation, 20th Midwest International Economic Development conference, University of Houston, March 2023.

Invited seminar, Drexel University, November 2022.

Invited Seminar, Temple University, October 2022.

Invited paper presenter (with Nishioka), Asian Econometric Society, Tokyo, August 2022.

Paper (joint with Boslett, Brown and Weber) presentation for Heinz School Internal Seminar, April 2022

MIT organizational economics lunch, invited to present paper (with Carrie Leana), April 2021.

Invited Paper Presenter, National Bureau of Economic Research, China Working Group, September 2019

Conference on Corruption and Anti-Corruption Policies, European Bank for Reconstruction and Development, Kiev, invited speaker, June 2019

Association for Comparative Economic Studies, Presidential Address, ASSA meetings, Atlanta, January 2019

Courses Taught at the University of Pittsburgh

Applied micro, trade and development seminar and speaker series (graduate), Chinese economy (undergraduate), development and institutions (graduate), econometrics (undergraduate, and writing intensive), intermediate microeconomics (undergraduate), law and economics (undergraduate), post-socialist transition (undergraduate and writing intensive), pro-seminar on institutions and growth (undergraduate and writing intensive)